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Ying CHANG

*Department of Materials, School of Chemical and Environment Engineering, Hubei University of Technology, Wuhan 430068, China*

Huihu WANG

*School of Mechanical Engineering, Hubei University of Technology, Wuhan 430068, China*

Qinbiao ZHU

*Department of Materials, School of Chemical and Environment Engineering, Hubei University of Technology, Wuhan 430068, China*

Ping LUO

*School of Mechanical Engineering, Hubei University of Technology, Wuhan 430068, China*

Shijie DONG

*School of Mechanical Engineering, Hubei University of Technology, Wuhan 430068, China*

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## Theoretical calculation and analysis of ZrO<sub>2</sub> spherical nanometer powders

Ying CHANG<sup>a</sup>, Huihu WANG<sup>b</sup>, Qinbiao ZHU<sup>a</sup>, Ping LUO<sup>b</sup>, Shijie DONG<sup>b,\*</sup>

<sup>a</sup>Department of Materials, School of Chemical and Environment Engineering, Hubei University of Technology, Wuhan 430068, China

<sup>b</sup>School of Mechanical Engineering, Hubei University of Technology, Wuhan 430068, China

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**Abstract:** ZrO<sub>2</sub> spherical nanometer powders containing 3.5 mol% Y<sub>2</sub>O<sub>3</sub> have been prepared via the coupling route of water/oil (W/O) emulsion with dimethyl oxalate homogenous precipitation. ZrO<sub>2</sub> powders and their precursor powders have been characterized by XRD, TEM and SEM. According to the XRD result, phase volume fractions of powders were calculated by comparing the peaks' intensities of spectrum. Furthermore, phase crystal lattice constants were obtained using crystal interplanar spacing formula and Bragg equation. With these results, the theoretical density of powders was analyzed. Finally, powders' spherical degree was revealed via the method of comparison between theoretical density and actual density.

**Keywords:** spherical; zirconia nanometer powders; theoretical calculation; density

### 1 Introduction

ZrO<sub>2</sub> is widely applied in many advanced structural ceramics, high-temperature ceramics and electronic devices for its low thermal conductivity, high mechanical strength and relatively high thermal expansion coefficient [1–4]. It is well known that powder performance determines the final manufacture and performance of ceramic products. To obtain uniform microstructure with a low sintering temperature and high density, the particles of ceramic powders must be spherical with narrow size distribution [5–7]. Nanometer particles can further enhance the low-temperature sintering performance of ceramics and enable the ceramics to possess a smaller

residual porosity and the grain size [8–11]. Therefore, the preparation of ZrO<sub>2</sub> spherical nanometer particles has been the research focus in recent years [12–16].

Different characterization methods, such as TEM and SEM have been applied to analyze the spherical degree of ZrO<sub>2</sub> powders. However, these methods are not very reasonable. For example, TEM photographs of spherical nanometer particles only indicate that they are spherical in the projection direction, but it may be inappropriate to think they are three-dimensional pellets. Therefore, it is more convincing and necessary to illustrate the spherical degree of powders by using the combination method of theoretical calculation and actual characterization.

The experiments in this paper adopted the emulsion system to synthesize ZrO<sub>2</sub> spherical nanometer particles, in which system cheap xylol was used as the oil phase, span-80 as the surfactant, and aqueous solution

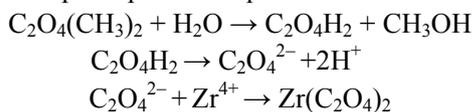
\* Corresponding author.  
E-mail: dongsjsj@163.com

containing  $Zr(NO_3)_4$ ,  $Y(NO_3)_3$  and dimethyl oxalate as the water phase. During the water bath process, dimethyl oxalate hydrolysis was decomposed into precipitator, and the precipitates became spherical grains in the water droplets of emulsion. As a result,  $ZrO_2$  (with 3.5 mol%  $Y_2O_3$ ) nanometer powders with excellent dispersion, spherical shape and narrow particle size distribution can be prepared via the coupling route of water/oil (W/O) emulsion with dimethyl oxalate homogenous precipitation [17]. XRD, TEM and SEM patterns were carried out to analyze the microstructures of spherical  $ZrO_2$  powders. The phase volume fractions, phase crystal lattice constants and the theoretical density of powders were calculated according to above characterization results. The powders' spherical degree was verified via the method of comparison between theoretical density and actual density.

## 2 Experiments

### 2.1 Experimental methods

At room temperature,  $Zr(NO_3)_4 \cdot 2H_2O$  (AR) and  $Y(NO_3)_3 \cdot 6H_2O$  (AR) were confected into 0.5 mol/L solution. Then the solution was added into the dimethyl oxalate containing surfactant span-80 with continuously stirring by a magnetic stirrer. After that, the mixed solution was dispersed supersonically for another 20 minutes. Then it was transferred to a water bath which was slowly heated to 90 °C and kept for 1 h. The mixed solution turned into white gels during this process, which were treated with method of azeotropic distillation to get distilled gels. The obtained distilled gels were filtered and washed with deionized water several times to remove the residual surfactants and oil phase. The deionized water in gels was eliminated through washing with ethyl-alcohol. Finally, the gels were dried at 80 °C in vacuum for 24 h and calcined at 600 °C for 1 h to produce crystalline  $ZrO_2$  powders. The reaction principle was expressed as follows:



### 2.2 Characterization of powders

The XRD spectrums were carried out via internal standard method with an X-ray diffractometer (operating at 40 kV, 300 mA, using Cu  $K\alpha$  radiation,  $\lambda = 0.154\ 056$  nm). The particle morphologies were obtained by transmission electron microscopy

(JEM-2100FS) and scanning electron microscopy (JSM-6300LV).

## 3 Results and discussion

### 3.1 The calculation of phase volume fractions

The X-ray spectrum of as-prepared nanometer powders is shown in Fig. 1. It can be seen that  $ZrO_2$  powders are composed of the tetragonal phase and the cubic phase.

In the XRD spectrum, cubic and tetragonal phase diffraction peaks of  $ZrO_2$  powders are very close to each other, and it is very difficult to distinguish them in peak position with strong diffraction intensity. Therefore,  $t(400)$  and  $c(400)$  diffraction peaks are often analyzed on the test [18]. The volume fractions of phase are calculated using the following equations (Eqs. (1) and (2)), where the terms  $I_t(004)$ ,  $I_t(400)$  and  $I_c(400)$  correspond to the intensities of the peaks (004), (400) of the tetragonal phase and (400) of the cubic phase. Footnotes  $t$  and  $c$  represent tetragonal phase and cubic phase, respectively. In addition, Fig. 2 shows

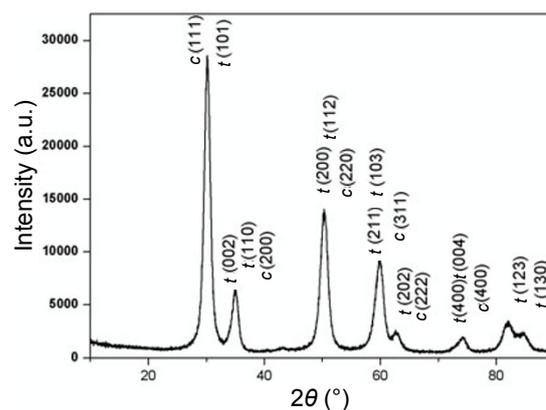


Fig. 1 X-ray spectrum of nanometer structured powders under 600 °C calcination temperature.

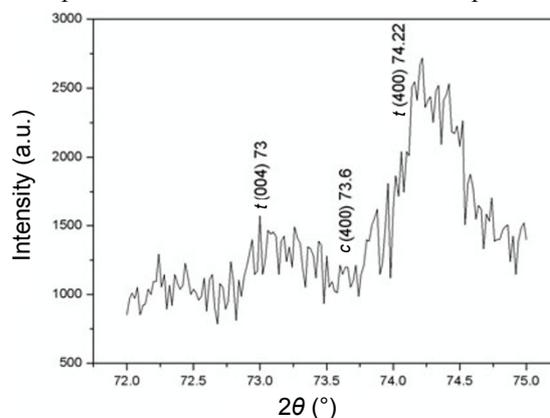


Fig. 2 X-ray spectrum of powders ( $2\theta = 72^\circ - 75^\circ$ ).

the enlarged X-ray spectrum ( $2\theta=72^\circ-75^\circ$ ) of powders, and Table 1 gives the (004) and (400) diffraction peak intensities of XRD spectrum.

**Table 1 The (004) and (400) diffraction peak intensities of XRD spectrum**

Peak	Intensity (a.u.)
<i>t</i> (004)	1573.33
<i>c</i> (400)	1213.33
<i>t</i> (400)	2720.00

$$v_{at}(\text{mol}\%) = \frac{I_t}{I_c + I_t} = \frac{I_t(004) + I_t(400)}{I_c(400) + I_t(004) + I_t(400)} = \frac{1573.33 + 2720}{1213.33 + 1573.33 + 2720} = 0.78 \quad (1)$$

$$v_{ac}(\text{mol}\%) = \frac{I_c}{I_c + I_t} = \frac{I_c(400)}{I_c(400) + I_t(004) + I_t(400)} = \frac{1213.33}{1213.33 + 1573.33 + 2720} = 0.22 \quad (2)$$

As a result, phase volume fractions of powders were calculated according to Eqs. (1) and (2). It consists of 0.78 mol% tetragonal phase and 0.22 mol% cubic phase.

### 3.2 Crystal lattice constant calculation of powders

Crystal lattice constants have been calculated with the crystal face spacing formula and Bragg equation according to crystal faces of the XRD diffraction spectrum (Fig. 2). Crystal lattice constants include the cubic phase lattice constant  $a_c$  and the tetragonal phase lattice constant  $a_t$ .

Tetragonal phase crystal interplanar spacing can be expressed by

$$d = a_t / \sqrt{h^2 + k^2 + l^2 / (c_t / a_t)^2} \quad (3)$$

The face spacing  $d$  between the ( $h00$ ) crystal faces is as follows:

$$d_{(h00)} = \frac{a_t}{h} \quad (4)$$

According to Bragg equation ( $\lambda=0.154\ 056\ \text{nm}$ ):

$$2d \sin \theta = \lambda \quad (5)$$

$$2d_{(h00)} \sin \theta_{(h00)} = \lambda \quad (6)$$

$$2 \frac{a_t}{h} \sin \theta_{(h00)} = \lambda \quad (7)$$

The tetragonal phase crystal lattice constant  $a_t$  has been calculated with above equations and the tetragonal phase crystal face (400) diffraction angle of powders, and the value is 0.510 67 nm.

Similarly, the cubic phase crystal interplanar spacing formula is as follows:

$$d = \frac{a_c}{\sqrt{h^2 + k^2 + l^2}} \quad (8)$$

According to Bragg equation:

$$\frac{2a_c \sin \theta}{\sqrt{h^2 + k^2 + l^2}} = \lambda \quad (9)$$

The cubic phase lattice constant  $a_c$  of powders can be calculated according to the cubic phase crystal face (400) diffraction angle, and the value is 0.514 357 nm.

Therefore, the average crystal lattice constant of powders can be expressed with the following formula:

$$a = a_t \cdot v_{at} + a_c \cdot v_{ac} = 0.51067 \times 0.78 + 0.514357 \times 0.22 = 0.51148\ \text{nm} \quad (10)$$

where  $v_{at}$  and  $v_{ac}$  represent the tetragonal phase volume fraction and the cubic phase volume fraction respectively.

In addition, Ingel and Lewis have deduced the experience formula for calculating  $t\text{-ZrO}_2$  or  $c\text{-ZrO}_2$  lattice constant  $a$  (unit: Å) according to the sphere packing model [19]:

$$a = 23.094 \left[ 0.221 + \frac{\sum_{i=1}^n P_i M_i (R_i - 0.081)}{100 + \sum_{i=1}^n M_i (P_i - 1)} \right] = 23.094 \left[ 0.221 + \frac{2 \times 3.5(0.090 - 0.081)}{100 + 3.5(2 - 1)} \right] = 5.1178 \quad (11)$$

where  $P_i$  represents the metal element ion number of stabilizer  $M_xO_y$ ,  $M_i$  represents molar percentage of stabilizer  $M_xO_y$ ,  $R_i$  represents ionic radius of metal M (such as  $R_{Zr} = 0.081\ \text{nm}$ ,  $R_Y = 0.090\ \text{nm}$ ).

Therefore, the value of crystal lattice constant is 0.511 78 nm according to the calculation result of Eq. (11). It is very close to 0.511 48 nm.

### 3.3 Calculation of powder theoretical density

The theoretical density  $\rho_0$  (unit:  $\text{g}/\text{cm}^3$ ) of powders can be calculated with the following formula according to the crystal lattice constant values [18]:

$$\rho_0 = \frac{6.6412}{a^3} \left\{ 123.22 + \frac{\sum_{i=1}^n P_i M_i [(A_i - 91.22) + (P_{oi} / P_i - 2) \times 16]}{100 + \sum_{i=1}^n M_i (P_i - 1)} \right\} = \frac{6.6412}{5.1148^3} \left\{ 123.22 + \frac{2 \times 3.5 [(88.91 - 91.22) + (3/2 - 2) \times 16]}{100 + 3.5(2 - 1)} \right\} = 6.081 \quad (12)$$

where  $P_i$  represents the metal element ion number of stabilizer  $M_xO_y$ ,  $M_i$  represents molar percentage of stabilizer  $M_xO_y$ ,  $A_i$  represents atomic weight of metal element M of stabilizer  $M_xO_y$ ,  $P_{oi}$  represents the oxide-ion number of stabilizer  $M_xO_y$ ,  $a$  is the average crystal lattice constant of powders.

Therefore, the theoretical density  $\rho_0$  of powders is  $6.081 \text{ g/cm}^3$  according to the calculation result of Eq. (12). It is very close to the density  $6.111 \text{ g/cm}^3$  which comes from the formula  $d=6/(\rho S_{\text{BET}})$  [20], where  $d$  is the particle size,  $\rho$  is the density of the powders,  $S_{\text{BET}}$  is the BET surface area. The tenable condition of this formula is that pellets are assumed as sphere. It can also prove that the prepared powders possess excellent spherical shape.

### 3.4 Microscopic morphology of powders

TEM and SEM images of powders are respectively shown in Figs. 3(a) and 3(b). It can be seen from Fig. 3(a) that the powders possess excellent spherical shape and dispersion degree. At the same time, the sintering necks of powders have already appeared at  $600 \text{ }^\circ\text{C}$ , demonstrating that the powders' surface is very active. In addition, it can be seen from Fig. 3(b) that the reunion or agglomeration of powders is loose. The particle size distribution is extremely uniform, and the reunion particle possesses spherical or nearly spherical appearance.

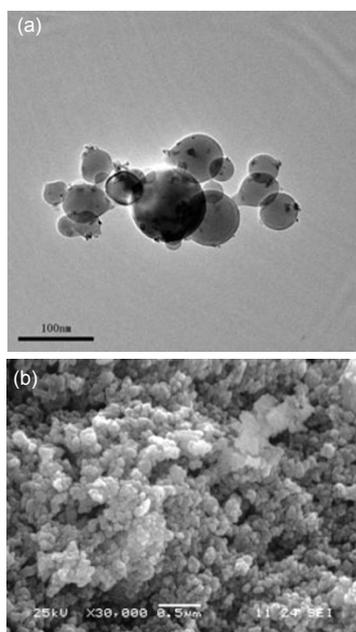


Fig. 3 Images of the  $\text{ZrO}_2$  powders obtained by means of the transmission electron microscopy and scanning electron microscopy.

## 4 Conclusions

$\text{ZrO}_2$  nanometer powders containing 3.5 mol%  $\text{Y}_2\text{O}_3$  have been prepared via the coupling route of W/O emulsion with dimethyl oxalate homogenous precipitation. The powders possess spherical shape, excellent dispersing property and fine size distribution. The phase volume fractions of powders have been calculated, and the result is 0.78 mol% tetragonal phase and 0.22 mol% cubic phase. Furthermore, the phase crystal lattice constants have been calculated, and the results are as follows:  $a_c=0.514357 \text{ nm}$ ,  $a_t=0.51067 \text{ nm}$ .

The theoretical density  $\rho_0$  of powders has been calculated as being  $6.081 \text{ g/cm}^3$ , which is very close to the actual density, and the result shows that the prepared powders possess excellent spherical shape.

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